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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 11

### Complete if Known

Application Number	10/727,144
Filing Date	December 2, 2003
First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	4,201,213		Townsend	05-06-1980	
	2.	4,821,719		Fogarty	04-18-1989	
	3.	4,985,030		Melzer et al	01-15-1991	
	4.	5,100,388		Behl et al	03-31-1992	
	5.	5,190,541		Abele et al	03-02-1993	
	6.	5,441,483		Avitall	08-15-1995	
	7.	5,546,682		Skerry	08-20-1996	
	8.	5,496,312		Klicek	03-05-1996	
	9.	5,569,274		Rapacki et al	10-29-1996	
	10.	5,575,810		Swanson et al	11-19-1996	
	11.	5,599,350		Schulze et al	02-04-1997	
	12.	5,755,715		Stern et al	05-26-1998	
	13.	5,837,011		Wong	11-17-1998	
	14.	5,846,239		Swanson	12-08-1998	
	15.	5,888,198		Eggers	03-30-1999	
	16.	5,891,095		Eggers	03-30-1999	
	17.	5,925,038		Panescu	07-20-1999	
	18.	5,961,513		Swanson et al	10-05-1999	

Examiner Signature		Date Considered	
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Sheet 2

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Filing Date	December 2, 2003
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Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

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		Number	Kind Code <sup>2</sup> (if known)			
	19.	6,039,748		Savage et al	03-21-2000	
	20.	6,056,747		Saadat et al	05-02-2000	
	21.	6,010,516		Hulka	01-04-2000	
	22.	6,096,033		Tu et al	08-01-2000	
	23.	6,096,037		Mulier et al.	08-01-2000	
	24.	6,142,994		Swanson et al	11-07-2000	
	25.	6,245,068		Olson et al	06-12-2001	
	26.	6,267,761		Ryan	07-31-2001	
	27.	6,296,640		Wampler et al	10-02-2001	
	28.	6,312,425		Simpson et al	11-06-2001	
	29.	6,330,473		Swanson et al	12-11-2001	
	30.	6,395,325		Hedge et al	05-28-2002	
	31.	6,416,508		Eggers et al	07-09-2002	
	32.	6,432,103		Ellsberry et al	08-13-2002	
	33.	6,454,766		Swanson et al	09-24-2002	
	34.	6,471,699		Fleischman et al	10-29-2002	
	35.	6,582,429		Krishnan et al	06-24-2003	
	36.	6,595,991		Tilner et al	07-22-2003	
	37.	6,610,055		Swanson et al	08-26-2003	

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Sheet 3

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Filing Date	December 2, 2003
First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

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		Number	Kind Code <sup>2</sup> (if known)			
	38.	6,663,622		Foley et al	12-16-2003	
	39.	6,679,882		Kornerup	01-20-2004	
	40.	6,685,715		Danitz et al	02-03-2004	
	41.	6,692,491		Phan	02-17-2004	
	42.	6,692,514		Fogarty et al	02-17-2004	
	43.	6,770,071		Woloszko et al	08-03-2004	
	44.	6,786,905		Swanson et al	09-07-2004	
	45.	6,837,887		Woloszko et al	01-04-2005	
	46.	6,896,673		Hooven	05-24-2005	
	47.	6,899,710		Hooven	05-31-2005	
	48.	6,926,712		Phan	08-09-2005	
	49.	6,932,816		Phan	08-23-2005	
	50.	6,932,812		Crowley et al	08-23-2005	
	51.	6,939,350		Phan	09-06-2005	
	52.	6,949,098		Mulier et al	09-27-2005	
	53.	7,150,097		Sremcich et al	12-19-2006	
	54.	2002/0103484	A1	Hooven	12-13-2005	
	55.	2003/0144656	A1	Ocel	07-31-2003	
	56.	2003/0158547	A1	Phan	08-23-2005	

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Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

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		Number	Kind Code <sup>2</sup> (if known)			
	57.	2003/0158548	A1	Kaufman	07-25-2002	
	58.	2003/0158549	A1	Swanson	08-21-2003	
	59.	2004/0186467	A1	Swanson	09-23-2004	
	60.	2005/0119649	A1	Swanson	06-02-2005	
	61.	2005/0215993	A1	Phan	09-29-2005	
	62.	2006/0155272	A1	Swanson	07-13-2006	
	63.	2006/0195080	A1	Ebert	08-31-2006	
	64.	2004/0059325	A1	Swanson	03-25-2004	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	65.	EP	0106748	A1	Green	04-25-1984		
	66.	EP	0856291	A1	Gaiser Et Al.	08-05-1998		
	67.	EP	1125549	A1	Ben-Haim Et Al.	08-22-2001		
	68.	WO	03/070114	A1	Phan	08-28-2003		

**OTHER DOCUMENTS -- NON PATENT LITERATURE**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	69.	Notice of Allowance dated March 28, 2005 for App. No. 10/080,378 (now USPN 6,926,712) (00-0056-US01) (6 pages)	

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First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

70.	Supplemental Notice of Allowability dated April 6, 2004 for App. No. 10/080,378 (now USPN 6,926,712) (00-0056-US01) (2 pages)
71.	Notice of Allowance dated March 30, 2004 for App. No. 10/080,378 (now USPN 6,926,712) (00-0056-US01) ( 4 pages)
72.	Action Re: Suspension, App. No. 10/080,378 (now USPN 6,926,712) (2 pages)
73.	Amendment dated September 11, 2003 for App. No. 10/080,378 (now USPN 6,926,712) (00-0056-US01) (10 pages)
74.	Non-Final Office Action dated April 2, 2003 for App. No. 10/080,378 (now USPN 6,926,712) (00-0056-US01) (5 pages)
75.	Notice of Allowance dated January 18, 2005 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (6 pages).
76.	Amendment dated December 9, 2004 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (5 pages).
77.	Non-final Office Action dated October 4, 2004 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (11 pages).
78.	Amendment dated May 14, 2004 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (12 pages).
79.	Non-final Office Action dated March 4, 2004 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (6 pages).
80.	Amendment dated January 12, 2004 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) ( pages).
81.	Final Office Action dated October 8, 2003 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (6 pages).
82.	Amendment dated July 14, 2003 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (18 pages).
83.	Non-final Office Action dated March 5, 2003 for US App. No. 10/079,944, filed February 19, 2002, now US Patent No. 6,932,816 (02-0085-US01) (9 pages).

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Sheet 6 11

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Application Number	10/727,144
Filing Date	December 2, 2003
First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

	84.	<p><b>Patent Application File History for US App. No. 11/131,671 including:</b>          Notice of Allowance dated May 10, 2007 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (7 pages)          Amendment dated February 14, 2007 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (11 pages).          Non-Final Office Action dated June 30, 2006 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (12 pages).          Advisory Action dated May 24, 2006 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (3 pages).          Amendment dated May 4, 2006 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (12 pages).          Final Office Action dated February 27, 2006 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (10 pages).          Amendment dated December 2, 2005 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (14 pages).          Non-Final Office Action dated September 26, 2005 for US App. No. 11/131,671 (02-0085-US02), filed May 17, 2005 (9 pages).</p>	
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Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

## Patent Application File History for US App. No. 10/080,374 (02-0086-US01) including:

Amendment dated October 31, 2007 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (20 pages).  
 Final Office Action dated 10/09/2007 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (15 pages).  
 Amendment dated July 19, 2007 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (12 pages).  
 Amendment dated March 9, 2007 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (10 pages).  
 Final Office Action dated January 9, 2007 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (9 pages).  
 Amendment dated October 28, 2006 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (11 pages).  
 Non-Final Office Action dated June 14, 2006 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (7 pages).  
 Amendment dated April 12, 2006 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (15 pages).  
 Final Office Action dated January 9, 2006 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (6 pages).  
 Amendment dated October 7, 2005 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (15 pages).  
 Non-Final Office Action dated May 18, 2005 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (6 pages).  
 Amendment dated April 2, 2005 for App. No. 10/080,374 (02-0086-US01), filed February 19, 2002 (6 pages).  
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Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

		<b>Patent Application File History for US App. No. 10/079,948 (02-0088-US01) including:</b>	
		Notice of Allowance dated June 14, 2006 for US App. No. 10/079,948 (02-0088-US01) (6 pages)	
		Interview Summary regarding June 5, 2006 Interview for US App. No. 10/079,948 (02-0088-US01) (2 pages).	
		Amendment dated April 12, 2006 for US App. No. 10/079,948 (02-0088-US01) (11 pages)	
		Non-Final Office Action dated January 12, 2006 for US App. No. 10/079,948 (02-0088-US01) (13 pages)	
		Amendment dated October 17, 2005 for US App. No. 10/079,948 (02-0088-US01) (14 pages).	
		Non-Final Office Action dated May 19, 2005 for US App. No. 10/079,948 (02-0088-US01) (13 pages)	
		Interview Summary regarding May 11, 2005 Interview for US App. No. 10/079,948 (02-0088-US01) (2 pages)	
86.		Amendment dated December 7, 2004 for US App. No. 10/079,948 (02-0088-US01) (23 pages).	
		Final Office Action dated October 4, 2004 for US App. No. 10/079,948 (02-0088-US01) (15 pages)	
		Amendment dated May 24, 2004 for US App. No. 10/079,948 (02-0088-US01) (20 page)	
		Non-Final Office Action dated March 26, 2004 for US App. No. 10/079,948 (02-0088-US01) (10 pages)	
		Amendment dated January 15, 2004 for US App. No. 10/079,948 (02-0088-US01) (10 pages)	
		Final Office Action dated October 8, 2003 for US App. No. 10/079,948 (02-0088-US01) (10 pages)	
		Amendment dated July 15, 2003 for US App. No. 10/079,948 (02-0088-US01) (19 pages)	
		Non-Final Office Action dated March 3, 2003 for US App. No. 10/079,948 (02-0088-US01) (7 pages)	
	87.	Non-Final Office Action dated September 25, 2007 for US App. No. 11/067,535, filed February 25, 2005 (04-0220-US01) (7 pages).	
	88.	Non-Final Office Action dated September 24, 2007 for US App. No. 10/255,025 (02-0298-US01), filed September 24, 2002 (6 pages).	

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9

11

### Complete if Known

Application Number	10/727,144
Filing Date	December 2, 2003
First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

89.

#### Patent Application File History for US App. No. 10/727,096 (03-0242-US01) including:

Amendment dated August 20, 2007 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (14 pages).

Non-Final Office Action dated May 18, 2007 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (8 pages).

Amendment dated April 27, 2007 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (11 pages).

Final Office Action dated February 7, 2007 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (8 pages).

Amendment dated November 9, 2006 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (14 pages).

Non-Final Office Action dated July 13, 2006 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (10 pages).

Amendment dated May 4, 2006 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (12 pages).

Final Office Action dated March 7, 2006 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (8 pages).

Amendment dated December 3, 2005 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (10 pages).

Non-Final Office Action dated August 8, 2005 for US App. No. 10/727,096, filed December 2, 2003 (03-0242-US01) (6 pages).

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Sheet 10

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Filing Date	December 2, 2003
First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

90.	<p><b>Patent Application File History</b> for US App. No. 10/727,143 (03-0312-US01) including:</p> <p>Amendment Response dated October 15, 2007 for US App. No. 10/727,143, filed December 2, 2003 (03-0312-US01) (13 pages).</p> <p>Non-Final Office Action dated June 15, 2007 for US App. No. 10/727,143, filed December 2, 2003 (03-0312-US01) (9 pages).</p> <p>Amendment dated April 26, 2007 for US App. No. 10/727,143, filed December 2, 2003 (03-0312-US01) (12 pages)</p> <p>Final Office Action dated February 1, 2007 for US App. No. 10/727,143, filed December 2, 2003 (03-0312-US01) (8 pages)</p> <p>Amendment dated November 22, 2006 for US App. No. 10/727,143, filed December 2, 2003 (03-0312-US01) (12 pages)</p> <p>Non-Final Office Action dated August 18, 2006 for US App. No. 10/727,143, filed December 2, 2003 (03-0312-US01) (8 pages).</p>
91.	(00-0056 WO01) International Search Report for (PCT/US02/38105), form PCT/ISA 210, Applicant Boston Scientific Scimed, (5 pages).
92.	(00-0056 WO01) Written Opinion for (PCT/US02/38105), Form PCT/IPEA 408, Applicant Boston Scientific Scimed, (5 pages)
93.	(00-0056 WO01) International Preliminary Examination Report for PCT/US02/38105, Form PCT/IPEA 409, Applicant Boston Scientific Scimed, (5 pages)
94.	(02-0085 WO01) International Search Report for (PCT/US02/38092), forms PCT/ISA 210 and 220, Applicant Boston Scientific Scimed, (7 pages)
95.	(02-0085 WO01) Written Opinion for (PCT/US02/38092), Form PCT/IPEA 408, Applicant Boston Scientific Scimed, (5 pages)
96.	(02-0085 WO01) International Preliminary Examination Report for PCT/US02/38092, Form PCT/IPEA 416, Applicant Boston Scientific Scimed, (7 pages)
97.	(02-0086 WO01) International Search Report for (PCT/US02/38924) forms PCT/ISA 210 and 220, Applicant Boston Scientific Scimed, (7 pages)
98.	(02-0086 WO01) International Preliminary Examination Report for PCT/US02/38924, Form PCT/IPEA 409, Applicant Boston Scientific Scimed, (5 Pages)
99.	(03-0078 WO01) International Search Report for PCT/US2004/39284, form PCT/ISA 210, Applicant Boston Scientific Scimed, (3 pages)
100.	(03-0078 WO01) Written Opinion for PCT/US2004/39284, form PCT/ISA 237, Applicant Boston Scientific Scimed, (4 pages)

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Filing Date	December 2, 2003
First Named Inventor	David K. Swanson
Group Art Unit	3739
Examiner Name	Aaron F. Roane
Attorney Docket Number	03-0117(US01)

101.	(03-0078 WO01) International Preliminary Report on Patentability for PCT/US2004/39284, form PCT/IB/373, Applicant Boston Scientific Scimed, (5 pages)
102.	(03-0117wo01) Written Opinion for PCT/US2004/39364, form PCT/ISA 237, Applicant Boston Scientific Scimed, (6 pages)
103.	(03-0117wo01) International Preliminary Report on Patentability for PCT/US2004/39364, form PCT/IB/373, Applicant Boston Scientific Scimed, (7 pages)
104.	(03-0312wo01) International Search Report for (PCT/US2004/39282), form PCT/ISA 210, Applicant Boston Scientific Scimed, (4 pages)
105.	(03-0312wo01) Written Opinion for PCT/US2004/39282, form PCT/ISA 237, Applicant Boston Scientific Scimed, (7 pages)
106.	(03-0312wo01) International Preliminary Report on Patentability for PCT/US2004/39282 form PCT/IB/373, Applicant Boston Scientific Scimed, (8 pages)
107.	(03-0242WO01) International Search Report for PCT/US2004/039283, form PCT/ISA 210, Applicant Boston Scientific Scimed, (3 pages)
108.	(03-0242WO01) Written Opinion for PCT/US2004/039283, form PCT/ISA 237, Applicant Boston Scientific Scimed, (7 pages)
109.	(03-0242WO01) International Preliminary Report on Patentability for PCT/US2004/039283, form PCT/IB/373, Applicant Boston Scientific Scimed, (8 pages)
110.	(04-0453WO01) International Search Report for PCT/US2005/45055, forms PCT/ISA 210 and 220, Applicant Boston Scientific Scimed, (10 pages)
111.	(02-0298WO01) International Search Report for PCT/US2003/29270 forms PCT/ISA 210 and 220, Applicant Boston Scientific Scimed, (10 pages)
112.	(02-0298EP01) Communication under Rule 51(4) EPC, for EP application no. 03756823.5, Applicant Boston Scientific Scimed, (6 pages)
113.	(02-0298EP01) Communication of a Notice of Opposition for EP application no. 03756823.5, Applicant Boston Scientific Scimed, (15 pages)
114.	(02-0298EP01) Response to Notice of Opposition for EP application no. 03756823.5, Applicant Boston Scientific Scimed, (7 pages)

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